UTILITY PATENT APPLICATION

**TRANSMITTAL** (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Exp

Attorney Docket No.	3445-147
First Inventor	Gabriel Petta
Title	COMPRESSIBLE FRAME GUARD DEVICE FOR A WINDOW OR DOOR
Express Mail Label No.	6

APPLICATION ELEMENTS  See MFEP chapter 600 concerning utility platent application contents.  ADDRESS TO: Maint an original and a duplicate for the processing)    See Applicant claims small entity status.						-1	-		Anall Oti	D-4		<b>1</b> 60
See MPEP chapter 600 concerning utility patent application contents.   See 37 CFR 1.25   Specification   Sequence Submission ("I applicable, all necessary)   Specification   Sequenc		APP	LICATION EL	ATION ELEMENTS			ADDDESS TO.					
Specification	See MPEP o					ADDR	KESS 10:		P.O. Box	1450		9.5
Specification							Alexandria VA 22313-1450					
Specification						7. CD-ROM or CD-R in duplicate, large table or					0/	
Specification						8 Nucl	8. Nucleotide and/or Amino Acid Sequence Submission					51
Specification	_	• •							ocquerioe (	Cubinission	17	
- Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Bird Summary of the	3. 🛛 S	Specification	[7	Total Pages	14 ]	· <u> </u>	a. Computer Readable Form (CRF)					`
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Birl of Summary of the Invention - Claim(s) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C.113) [Total Sheets 7] - Claim(s) - Abstract of the Disclosure - Accomplete program   Total Sheets 7] - South or Declaration - Copy from a prior application of a Copy of from a prior application of a Statement (IDS)/PTO-1449 Citations - Statement (IDS)/PTO-1449 - Stat				ow)								
- Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention Invention - Brief Summary of the Invention Invention - Brief Summary of the Invention - Brief Summary of the Invention Invention Invention - Brief Summary of the Invention Invention -				ations				or C	D-R (2 cc	pies); or		
or a computer program listing appendix Background of the Invention Brief Summary of the Invention Claim(s) Detailed Description Claim(s) Abstract of the Disclosure Abstract of the Disclosure Altorney Altorney  Altorney									e t			
- Background of the Invention - Brief Summary of the Invention - Brief Description of the Substantion Date Substantion Date Substantion - Confirmation of Division - Brief Description of the Invention of the Inve						<u> </u>						
- Brief Summary of the Invention - Brief Description of the Drawings ( if field) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C.113)				naix		ACCOMPANYING APPLICATIONS PARTS						
- Detailed Description - Claim(s) - Abstract of the Disclosure  4.	-	Brief Summary	of the Invention			9. 🔲	Assignmer	nt Pa	apers (cov	er sheet &	document(s))	
- Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C.113)				if filed)		10. 🔲	37 C.F.R. 3	3.73	(b) Staten	ent 🔲	Power of	
Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C.113)			ווסטו				(when then	e is	an assign	ee)	Attorney	
5. Oath or Declaration			Disclosure			11. 🗆	11. English Translation Document (if applicable)					
a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  1059  Country CANADA  Telephone  Registration No. (Attorney/Agent)  113. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (If foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(D). Applicant must attach form PTO/SB/35 or its equivalent. 17. Cher: 17. Cher: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, 17. Cherified Copy of Priority Document(s) (If foreign priority is claimed) 18. Cherified Copy of Priority Document(s) (If foreign priority is claimed) 18. Cherified Copy of Priority Document(s) (If foreign priority is claimed) 19. Cherified Copy of Priority Document(s) (If foreign priority is claimed) 19. Cherified Copy of Priority Document(s) 11. Cherified Copy of Priority Document(s) (If foreign priority is claimed) 16. Nonpublication nequest under 35 U.S.C. 122 (b)(2)(B)(B)(Application priority is equivalent. 17. Cherifie			_			12. 🔲				_	- •	
b. Copy from a prior application (37 CFR 1.63 (d))     (for a continuation/divisional with Box 18 completed)     i. DELETION OF INVENTOR(S)     Signed statement attached deleting inventor(s)     named in the prior application, see 37 CFR     1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:     Continuation Data Sheet under 37 CFR 1.76:     Continuation Data Sheet under 37 CFR 1.76:     Continuation or Divisional Continuation-in-part (CIP) of prior application No:/     Prior application information: Examiner	5. Oath or I		<del>-</del>		1	12 [		•	•		Chanons	į
Continuation   Cont	а. 🗌	Newly execut	ted (original or co	py)				•				
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No:  It are continuation information:  Examine:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently, omitted from the submitted application-parts.  19. CORRESPONDENCE ADDRESS  Customer Number  1059  OR Correspondence address below  Name  BERESKIN & PARR  40 KING STREET WEST  City TORONTO  State  ON  Zip Code  M5H 3Y2  Country  CANADA  Telephone  A16 364 7311  Fax  416 361 1398  Name (Print/Type)  Stephen M. Beney  Registration No. (Attorney/Agent)  41,563				1		aler	ıt.					
or in an Application Data Sheet under 37 CFR 1.76:  ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: / Prior application information: Examiner ☐ Continuation-in-part (CIP) Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application-parts.  19. CORRESPONDENCE ADDRESS  ☐ Correspondence address below  Name BERESKIN & PARR  40 KING STREET WEST  ☐ Control State ON Zip Code M5H 3Y2  Country CANADA Telephone 416 364 7311 Fax 416 361 1398  Name (Print/Type) Stephen M. Beney Registration No. (Attorney/Agent) 41,563	o. Za Application Data Street. See 37 Gr (1.76			17. ⊔	Other:							
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Prior application information: Examiner Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application-parts.  19. CORRESPONDENCE ADDRESS    Customer Number   1059   Correspondence address below										•		
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Vame         BERESKIN & PARR           Address         40 KING STREET WEST           City         TORONTO         State         ON         Zip Code         M5H 3Y2           Country         CANADA         Telephone         416 364 7311         Fax         416 361 1398           Name (Print/Type)         Stephen M. Beney         Registration No. (Attorney/Agent)         41,563	be relied upo	n when a portio	n has been inadver	tently_omitted	from the sub	mitted applic	ation-parts.			Torice. The	шеогрегален <u>сан</u>	<u>Omy</u>
Name BERESKIN & PARR  Address  City TORONTO State ON Zip Code M5H 3Y2  Country CANADA Telephone 416 364 7311 Fax 416 361 1398  Name (Print/Type) Stephen M. Beney Registration No. (Attorney/Agent) 41,563			·	19. C	ORRESPO	NDENCE A	DDRESS					
Address 40 KING STREET WEST  City TORONTO State ON Zip Code M5H 3Y2  Country CANADA Telephone 416 364 7311 Fax 416 361 1398  Name (Print/Type) Stephen M. Beney Registration No. (Attorney/Agent) 41,563	☑ Customer Number			59	OR Correspondence address below			,				
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City         TORONTO         State         ON         Zip Code         M5H 3Y2           Country         CANADA         Telephone         416 364 7311         Fax         416 361 1398           Name (Print/Type)         Stephen M. Beney         Registration No. (Attorney/Agent)         41,563						•						
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20 Annia	Country	Country CANADA			416 364 73	311			416 36	1 1398		
Signature Date December 16, 2003	Name (Print/	Туре)	Stephen M. Bene	) / (	F	Registration N	No. (Attorney/A	gent	)	41,563	<del></del>	
	Signature							Date	December	16, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.\$\frac{1}{2}\$. (122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Complete if Known				
Application Number	n/a			
Filing Date	n/a			
First Named Inventor	Gabriel Petta			
Examiner Name	n/a			
Art Unit	n/a			
Attorney Docket No.	3445-147			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDITIONAL FEES				
Deposit Account:	Large Entity Small Entity				
Deposit Account 022095	Fee Fee Fee Fee Fee Description  Code (\$) Fe Paid				
Number	1051 130 2051 65 Surcharge - late filing fee or oath				
Deposit Account Name  Bereskin & Parr	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet				
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification				
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination				
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to  Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account:	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month				
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month				
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month				
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month				
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month				
1002 340 2002 170 Design filing fee 770.00	1401 330 2401 165 Notice of Appeal				
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing				
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 770.00	1452 110 2452 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive - unintentional				
Fee from	1501 1,330 2501 665 Utility issue fee (or reissue)				
Extra Claims below Fee Paid  Total Claims 18 - 20 = 0.00	1502 480 2502 240 Design issue fee				
Independent 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1503 640 2503 320 Plant issue fee				
Claims 0.000 Multiple Dependent	1460 130 1460 130 Petitions to the Commissioner				
Large Entity   Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Fee Fee Fee <u>Fee Description</u>	1806 180 1806 180 Submission of Information Disclosure Stmt.				
Code (\$)   Code (\$) 1202 18 2202 9 Claims in excess of 20	8021 40 8021 40 Recording each patent assignment per property (times number of properties)				
1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))				
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be				
1204 86 2204 43 ** Reissue independent claims	examined (37 CFR 1.129(b))				
over original patent	1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application				
	Other fee (specify)				
SUBTOTAL (2) (\$) 0.00 **or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00				
SUBMITTED BY	(Complete (if applicable))				
Name (Print/Type) Stephen M. Benev	Registration No. 41 563 Telephone (416) 364-7311				

Date Signature December 16, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.